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Docket No. 034299-646

PCA

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Simon DELEONIBUS

APPLICATION NO.: 10/539,928

CONFIRMATION NO.: Not Yet Assigned

FILING DATE: June 16, 2005

TITLE: MIS Transistor with Self-Aligned Gate and Method for Making Same

EXAMINER: Not Yet Assigned

ART UNIT: Not Yet Assigned

**CERTIFICATE OF MAILING**

I hereby certify that this paper is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop Amendment Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date printed below:

Date:

12/2/05

Name:

Michelle R. Crosby

Mail Stop: Amendment  
COMMISSIONER FOR PATENTS  
P.O. Box 1450  
ALEXANDRIA, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

In compliance with the duty of disclosure under 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicant hereby submits this Information Disclosure Statement (IDS) including a Form PTO-1449 containing a list of references which may be material to the examination of the above-referenced application. This submission is not to be construed as a representation that a prior art search has been conducted, that additional information material to the examination of this application does not exist, or that any one or more of the listed references constitutes prior art.

The Examiner is urged to carefully consider this Information Disclosure Statement and all the listed references, and to indicate same by initialing the appropriate portion(s) of the Form PTO-1449 and forwarding an initialed copy to applicant.

If this box ☒ is checked, this application was filed after **June 30, 2003**, and qualifies for the blanket waiver of deposit of copies of U.S. Patents and U.S. Patent Application Publications in accordance with the written waiver of 37 CFR §1.98 (a)(2)(i) Official Gazette Published in Official Gazette Notices of August 5, 2003 (1273OG55).  
**Accordingly, such copies are not submitted.**

# I

This statement is filed pursuant to **(CHECK ONE BOX)**:



## **37 C.F.R. § 1.97(b).**

This information disclosure statement is filed either:

- (1) within three months of the filing date of a national application other than a continued prosecution application under §1.53(d);
- (2) within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application;
- (3) before the mailing date of a first office action on the merits; or
- (4) before the mailing of a first office action after the filing of a Request for Continued Examination under 37 C.F.R. §1.114, **whichever event occurs last.**

**Accordingly, this information disclosure statement requires no fee and no certification.**



## **37 C.F.R. § 1.97(c).**

This information disclosure statement is filed **after** the period specified in 37 C.F.R. § 1.97(b), but **before** the mailing date of any of the following:

- (1) a final action under 37 C.F.R. § 1.113;
- (2) a notice of allowance under 37 C.F.R. § 1.311; or
- (3) an action that otherwise closes prosecution in the application.

**Accordingly, this information disclosure statement requires either:**

- (1) the fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c); or
- (2) a certification according to 37 C.F.R. § 1.97 (e)(1) or (2).



## **37 C.F.R. § 1.97(d).**

This information disclosure statement is filed **after** the period specified in 37 C.F.R. § 1.97 (c).

**Accordingly, this information disclosure statement requires:**

- (1) a certification in accordance with 37 C.F.R. § 1.97(e); and
- (2) the fee specified in 37 C.F.R. § 1.17 (p) to consider an information disclosure statement under 37 C.F.R. § 1.97(d).

## II

**Fees Due (CHECK ONE BOX):**

- ☒ No fee is due.
- ☐ The fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) or 37 C.F.R. § 1.97(d) is enclosed (\$180).

**Please charge any additional required fee or credit any overpayment to our deposit account number 50-1698.**

## III

**Certification (CHECK ONE BOX):**

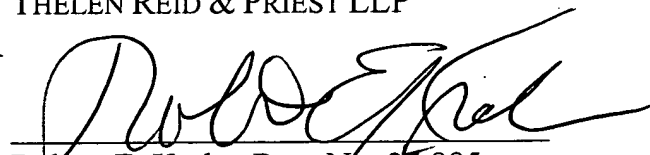
- ☒ No certification is necessary.
- ☐ Pursuant to 37 C.F.R. § 1.97(e)(1), the undersigned hereby certifies:  
That each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.
- ☐ Pursuant to 37 C.F.R. § 1.97(e)(2), the undersigned hereby certifies:  
No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this information disclosure statement.

IV

If this statement crosses in the mail with an office action, or is otherwise not in the indicated category of 37 C.F.R. §1.97, it is respectfully requested that this statement be treated in the next appropriate category and made of record. **To the extent required, please treat this paper as a conditional petition for acceptance of the information disclosure statement.**

Respectfully submitted,  
THELEN REID & PRIEST LLP

Dated: 2 December 2005

  
Robert E. Krebs, Reg. No. 25,885

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| Form PTO 1449<br>(Rev. 2-32)                         |  |  |  | U.S. Department of Commerce<br>Patent and Trademark Office |  | Atty. Docket No.<br>034299-646        |  | Serial No.<br>10/539,928 |  |
| <b>Information Disclosure Statement by Applicant</b> |  |  |  |  |  | Applicant:<br><b>Simon DELEONIBUS</b> |  |                          |  |
| (Use several sheets if necessary)                    |  |  |  |  |  | Filed: June 16, 2005                  |  | Group: Not Yet Assigned  |  |

| U.S. Patent Documents |  |                |            |            |       |          |             |
|-----------------------|--|----------------|------------|------------|-------|----------|-------------|
| Init.                 |  | Document No.   | Date       | Name       | Class | Subclass | Filing Date |
|                       |  | 6246091 B1     | 6/12/2001  | Rodder     |       |          |             |
|                       |  | 6323525 B1     | 11/27/2001 | Noguchi    |       |          |             |
|                       |  | 6346450 B1     | 02/12/2002 | Martin     |       |          |             |
|                       |  | 2002/000611 A1 | 01/03/2002 | Takayanagi |       |          |             |
|                       |  |                |            |            |       |          |             |
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| Foreign Documents |  |              |      |         |       |          |     | Translation |  |
|-------------------|--|--------------|------|---------|-------|----------|-----|-------------|--|
| Init.             |  | Document No. | Date | Country | Class | Subclass | Yes | No          |  |
|                   |  |              |      |         |       |          |     |             |  |
|                   |  |              |      |         |       |          |     |             |  |

| Other Documents (Including Author, Title, Date, Pertinent Pages, etc.) |  |  |
|--|--|--|
|  |  | L.L. Vadasz, H. T. Chua, A.S. Grove, "Semi Conductor Random-Access Memories", Semiconductor Memories, IEEE, 1972, pps 7-13 |
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| Examiner | Date Considered |
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Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.